

Prop

$$S_{\mathbb{R}}(x, f) = \sum_{x_i^{p^k-1} = 1} F_a(f, x) F_a(f, x^p) \cdots F_a(f, x^{p^{k-1}}).$$

5. p-adic Banach space.

Write  $f = \sum_{j=1}^J a_j x^{V_j}$ ,  $a_j \in \mathbb{Z}_p$ ,  $a_j^{p-1} = 1$ .

$$\Delta = \Delta(f) = \text{Conv}(V_j) \text{ in } \mathbb{R}^n$$

$$\bar{\Delta} = \text{Conv}(0, (1, V_j)) \text{ in } \mathbb{R}^{n+1}$$

$$C(\bar{\Delta}) = \text{Cone gen'd by } \bar{\Delta}$$

$$L(\bar{\Delta}) = C(\bar{\Delta}) \cap \mathbb{Z}^{n+1} \text{ a f.g. monoid.}$$

Def.  $S_{\Delta, \mathfrak{g}}$  =  $\left\{ \sum_{u \in L(\bar{\Delta})} A_u \pi^{u_0} X^u \mid A_u \in \mathbb{Z}_p[\mathfrak{g}] \right\}$  a  $p$ -adic  
Banach alg.

$u = (u_0, u_1, \dots, u_n)$ ,  $X^u = X_0^{u_0} X_1^{u_1} \dots X_n^{u_n}$ .

Formal basis  $\Gamma = \left\{ \pi^{u_0} X^u \mid u \in L(\bar{\Delta}) \right\}$ .

Def.  $\phi_1 = \psi_p \circ F(f, X)$ .  $\tau^{-1}$ -linear.

$\phi_a = \psi_q \circ F_a(f, X) = \phi_1^a$ , linear.

$\Rightarrow$   $\phi_a$  is a compact operator on  $S_{a, q}$ .

$\text{Tr}(\phi_a)$  is defined

$\det(\mathbb{I} - T\phi_a)$  is  $p$ -adic entire.

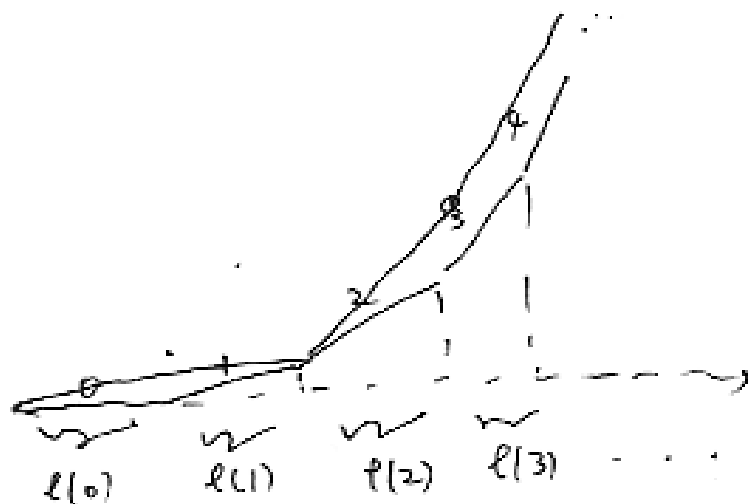
$$\phi_i(\Gamma) = \Gamma A_i(f).$$

$$A_i(f) = \begin{pmatrix} a_{00} & a_{01} & a_{02} & \cdots \\ p a_{10} & p a_{11} & p a_{12} & \cdots \\ p^2 a_{20} & p^2 a_{21} & p^2 a_{22} & \cdots \\ \vdots & \vdots & \vdots & \vdots \end{pmatrix} \begin{array}{l} a_{ij} \\ \ell(\Delta) \text{ - rows} \\ \ell(j\Delta) \text{ - columns} \\ \text{entries in } \mathbb{Z}_q[T] \end{array}$$

Prop.  $q$ -adic NP of  $\det(I - \phi_a T)$

$\cong$  the polygon in  $\mathbb{R}^2$  with vertices

$$\left( \sum_{k=0}^m l(k), \sum_{k=0}^m k l(k) \right); \quad m = 0, 1, 2, \dots$$



$$l(i) = \#(i\Delta \cap \mathcal{E}^n)$$

7. Dwork trace formula.

$$\begin{aligned} \underline{\text{Thm}} \quad S_{\mathbb{R}}(\chi_{\text{of}}) &= (q^k - 1)^{n+1} \text{Tr}(\phi_a^k) \\ &= \sum_{i=0}^{n+1} (-1)^{n+1-i} \binom{n+1}{i} q^{ki} \text{Tr}(\phi_a^k) \end{aligned}$$



$$\begin{aligned} \Rightarrow L(\alpha_0, T) &= \prod_{i=0}^{n+1} \exp\left(\sum_{k=1}^{\infty} \frac{T^k}{k} \text{Tr}\left(\delta^i \phi_a\right)^k\right) \binom{n+1}{i} \\ &= \prod_{i=0}^{n+1} \det\left(I - T \delta^i \phi_a\right) \binom{n+1}{i} \end{aligned}$$

Cor.  $L(x, f, T)$  is  $p$ -adic zero in  $T$ .  
 $\cap$   
 $1 + T \cong \mathbb{Z}[a_T]$

## §. Rationality.

Lemma (Borel-Dwork). Let  $g(T) \in \mathbb{Z}[[T]]$ .

Then  $g(T) \in \mathbb{Q}(T) \iff$

- 1)  $g(T)$  analytic <sup>at</sup> near 0 ~~at~~ in  $\mathbb{C}$ .
- 2)  $g(T)$  is  $p$ -adic ~~max~~ for some  $p > 0$

$\Rightarrow$   
Thm (Dwork).  $L(\rho_f, T) \in \mathbb{Q}(T)$ .  
 $Z(U_f, T) \in \mathbb{Q}(T)$

q. p-adic analog formula for  $L(\chi, f, T)$ .

Def.  $G(x) = F(f, x) F^{\tau}(f, x^p) F^{\tau^2}(f, x^{p^2}) \dots \in \mathbb{Z}_p[[x]][[X_0, X_1, \dots]]$

$$F(f, x) = \frac{G(x)}{G^{\tau}(x^p)}$$

$$\psi_p(x^u) = \begin{cases} x^{\frac{u}{p}}, & \text{if } p|u \\ 0, & \text{if } p \nmid u. \end{cases}$$

$$\phi_i = \psi_p \circ F(f, x) = \psi_p \circ \frac{G(x)}{G^{\psi_p}(x^p)} = G(x)^{-1} \circ \psi_p \circ G(x)$$

$$\phi_i^g = \phi_a = G(x)^{-1} \circ \psi_p^g \circ G(x) = G(x)^{-1} \circ \psi_g \circ G(x).$$

For  $0 \leq i \leq n$ . let  $D_i = G(x)^{-1} \circ X_i \frac{\partial}{\partial x_i} \circ G(x)$  acts on  $S_{D, g}$ .

$$D_i D_j = D_j D_i, \quad \phi_a \circ D_i = g D_i \circ \phi_a$$

Koszul complex  $K. (S_{\Delta, \mathcal{F}}, \mathcal{D}_0, \mathcal{D}_1, \dots, \mathcal{D}_n)$

$$0 \rightarrow S_{\Delta, \mathcal{F}}^{\binom{n+1}{n+1}} \xrightarrow{d} S_{\Delta, \mathcal{F}}^{\binom{n+1}{n}} \xrightarrow{d} \dots \xrightarrow{d} S_{\Delta, \mathcal{F}}^{\binom{n+1}{1}} \xrightarrow{d} S_{\Delta, \mathcal{F}} \rightarrow 0$$

$$\begin{array}{ccccccc} & & \downarrow \varrho^{\binom{n+1}{n+1}} \phi_a & & \downarrow \varrho^n \phi_a & & \downarrow \varrho \phi_a & & \downarrow \phi_a \\ & & & & & & & & \end{array}$$

$$0 \rightarrow S_{\Delta, \mathcal{F}}^{\binom{n+1}{n+1}} \rightarrow S_{\Delta, \mathcal{F}}^{\binom{n+1}{n}} \rightarrow \dots \rightarrow S_{\Delta, \mathcal{F}}^{\binom{n+1}{1}} \rightarrow S_{\Delta, \mathcal{F}} \rightarrow 0$$

$$\Rightarrow L(\text{c.f. } \tau)^{\binom{n+1}{n+1}} = \prod_{i=0}^{n+1} \det(\mathbb{I} - \tau \varrho^i \phi_a (S_{\Delta, \mathcal{F}})^{\binom{n+1}{i}})$$

$$= \prod_{i=0}^{n+1} \det(\mathbb{I} - \tau \varrho^i \phi_a (H_i(K.))^{\binom{n+1}{i}})$$

$$\text{If } f \text{ is } \Delta\text{-regular / } \mathbb{F}_q \Rightarrow \begin{cases} H_i = 0 & \forall i > 0 \\ H_0 = S_{\Delta, g} / \sum_{i=0}^{n+1} D_i(S_{\Delta, g}). \end{cases}$$

is a fm  $\mathbb{F}_q[\pi]$ -module of rk  $d(\Delta)$



From now on, assume  $f$  is  $\Delta$ -regular.  $\Rightarrow$

$$\begin{aligned} \underline{\text{Thm}} \quad L(x, f, T)^{(H)^n} &= \det (I - T\phi_n | H_0) \\ &\in 1 + T\mathbb{Z}[T] \quad \text{of deg } d(\Delta) \end{aligned}$$

$$10). \quad L(x, t, T)^{(-1)^n} = \prod_{i=1}^{d(\Delta)} (1 - \alpha_i T), \quad \alpha_i \in \overline{\mathbb{Q}} \hookrightarrow \mathbb{C}.$$

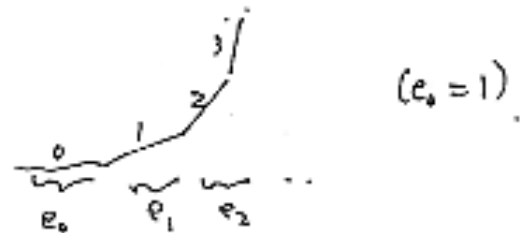
$$\Rightarrow |\alpha_i| = q^{\frac{w_i}{2}}, \quad w_i \in \mathbb{Z} \cap [0, n+1]$$

(mixed of weight  $\in n+1$ ).

Def.  $p_j = \# \{ 1 \leq i \leq d(\Delta) \mid w_i = j \}, \quad j = 0, 1, \dots, n+1$

The weight polygon of  $\Delta$  is

$WP(\Delta):$



$w_p(\Delta)$  can be determined.

Ex  $\Delta$  a simplex, let

$$c_0 = 1, \quad c_i = \sum_{\substack{\sigma \subset \Delta \\ \dim \sigma = i-1}} v_i(\sigma), \quad i \geq 1$$

$$\Rightarrow e_0 = 1, \quad e_j = \sum_{i=0}^j (-1)^{j-i} i! \binom{n+1-i}{n+1-j} c_i, \quad j \geq 1.$$

Ex.  $f(\lambda, x) = x_1 + \dots + x_n + \frac{1}{x_1 \cdot x_n} - \lambda$ .  $\Delta$  - regular.

11. Newton polygon.

$$L(x \text{ of } T)^{f_1^n} = \prod_{i=1}^{d(\Delta)} (1 - \alpha_i T), \quad \alpha_i \in \overline{\mathbb{Q}_p}$$

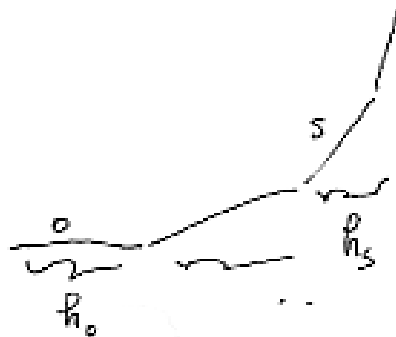
$$|\alpha_i|_q = q^{-s_i}, \quad s_i = \text{ord}_q(\alpha_i).$$

$$s_i \in \mathbb{Q} \cap [0, n+1].$$

Def.  $P_{h_s} = \# \{ 1 \leq i \leq d(\Delta) \mid s_i = s \}, \quad s \in \mathbb{Q} \cap [0, n+1]$

$q$ -adic NP.

NP(f):



$$\sum h_s = d(\Delta)$$

Question:

$$NP(f) = ?$$

Prop. write

$$L(x, f, T)^{H^n} = \sum_{m=0}^{d(\Delta)} A_m T^m, \quad A_m \in \mathbb{Z}.$$

$\Rightarrow NP(f)$  is convex closure in  $\mathbb{R}^2$  of

the pts  $(m, \text{ord}_f(A_m))$ ,  $m=0, 1, \dots, d(\Delta)$

Prop. Vertices of  $NP(f) \in \mathbb{Z}^2$ .

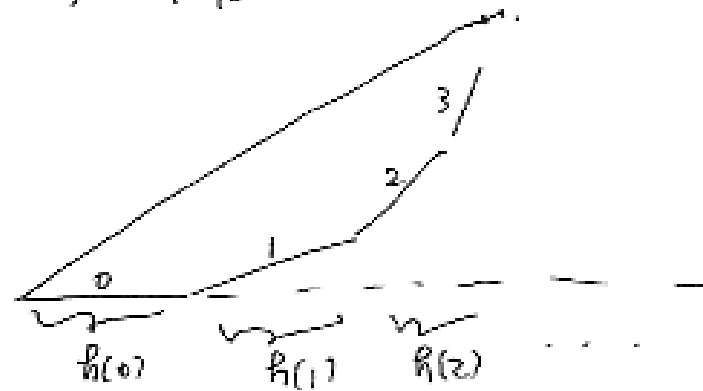
(2). Hodge polygon.

$\Delta \subset \mathbb{R}^n$ ,  $n$ -dim integral convex

$$w(k) = \#(\mathbb{Z}^n \cap k\Delta).$$

$$\sum_{k=0}^{\infty} w(k) T^k = \frac{\sum_{k=0}^n h(k) T^k}{(1-T)^{n+1}}.$$

Def. The Hodge polygon of  $\Delta$  is the polygon

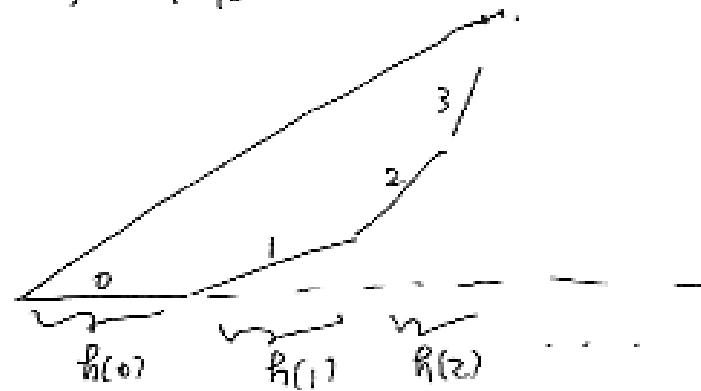


$$\sum h(k) = d(\Delta)$$

Thm.  $NP(f) \supseteq HP(\Delta)$  with endpoints coincident.



Def. The Hodge polygon of  $\Delta$  is the polygon



$$\sum h_i = d(\Delta)$$

Thm.  $NP(f) \supseteq HP(\Delta)$  with endpoints coincident.

13). Variation of  $NP(f)$  with  $p$ .

Conj. Let  $f(x_1, \dots, x_n) \in \mathbb{Q}[x_1^{\neq 1}, \dots, x_n^{\neq 1}]$ ,  $\Delta$ -regular.

1)  $\exists$  infinitely many  $p$  s.t.  $NP(f \otimes_{\mathbb{F}_p}) = HP(\Delta)$ .

$$2) \delta(f) = \lim_{t \rightarrow \infty} \frac{\#\{p \leq t \mid f \otimes_{\mathbb{F}_p} \text{ is ordinary}\}}{\#\{p \leq t\}}$$

exists and  $\delta(f) > 0$ .

$$\begin{aligned}
 \underline{E_9} \quad f &= X_1 + X_2 + \frac{1}{X_1 X_2} \rightarrow e \in \mathbb{Q}[X_1^{\pm 1}, X_2^{\pm 1}], \quad \Delta\text{-regular.} \\
 &\text{(elliptic curve / } \mathbb{Q} \text{)} \\
 \Rightarrow \delta(f) &= \begin{cases} \frac{1}{2}, & f \text{ has CM (Deuring)} \\ 1, & f \text{ has non-CM (Sene)} \end{cases}
 \end{aligned}$$

$$\underline{E_7}. \quad f(\lambda, x) = x_1 + \dots + x_n + \frac{1}{x_1 \dots x_n} - \lambda, \quad \Delta\text{-regular}$$

$$n \geq 4.$$

$$n=3 \text{ (surface, } K_3)$$